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| **Name of Entity:** |       | **PWS ID No.:** | TX      |

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| If this project **HAS NOT** received a funding commitment, provide the associated Project Information Form number(s) (PIF #) for this update. |       |
| If the project **HAS** received a funding commitment, provide the associated five-digit Project number for this update. |       |

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| **Section 9. READINESS TO PROCEED** |
| 1. **Permitting**
 | **Yes** | **No** |
| Have all applicable permitting aspects of the project, including acquisition of water rights and/or Certificate of Convenience and Necessity (CCN), or TCEQ approval and completion of piloting been achieved?* If **“Yes,”** please provide the permit name(s)
* If **“No,”** identify in the space below each federal, state or local permit, license or other authorizations needed for the project and the status of each.
 | [ ]  | [ ]  |
|       |
| **B. Land Acquisition** | **Yes** | **No** |
| Have all land acquisitions and easements necessary to complete the project been obtained? | [ ]  | [ ]  |
| If **“No,”** please explain in the space below and provide an anticipated completion date. | **Completion Date** (mm/dd/yyyy)      |
|       |

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| **C. Design** | **Yes** | **No** |
| 1. Have you completed the design process including full development of plans and specifications? (If **“No,”** proceed to Question 2. If **“Yes,”** proceed to Question 4.)
 |  [ ]  |  [ ]  |
| **2**. Has design work **progressed beyond preliminary design**? If so, please provide the completion date. Completed preliminary design documents must consist of the following:* Design criteria, preliminary drawings, outline of specifications, written descriptions of the project, and updated opinion of probable cost.
* Project Sites are plotted on site maps, the site has been surveyed, geotechnical analysis of the site is complete, facility sizing is complete, and process schematics are complete.
 |  [ ]  |  [ ]  |
| * For rehab projects, the above is complete, meaning the details as to what linework portions and what plant components to be rehabbed are well defined.
 | **Completion Date** (mm/dd/yyyy)      |
| **3**. Will design work be initiated after the TWDB releases design funds for this project? | [ ]  |  [ ]   |
| **4**. For membrane plants, Surface Water Treatment Plant (SWTP), water wells, treatment changes, or intake structures, has TCEQ approved plans and specs? | [ ]  |  [ ]  |
| [ ]  N/A |
| **D. Environmental Review** |  |  |
| Only answer “Yes” to **ONE** of the following four questions: | 1. Have you received a Finding of No Significant Impact (FNSI), Categorical Exclusion (CE), a Record of Decision (ROD), or an environmental determination prepared by another entity in compliance with the National Environmental Policy Act (NEPA) for this project? For projects that may qualify for a FNSI, please review [31 TAC §371.44](http://texreg.sos.state.tx.us/public/readtac%24ext.TacPage?sl=T&app=9&p_dir=N&p_rloc=178801&p_tloc=&p_ploc=1&pg=2&p_tac=&ti=31&pt=10&ch=371&rl=43); or that require a CE, review [31 TAC §371.43](http://texreg.sos.state.tx.us/public/readtac%24ext.TacPage?sl=T&app=9&p_dir=P&p_rloc=178802&p_tloc=&p_ploc=1&pg=3&p_tac=&ti=31&pt=10&ch=371&rl=43); or that require a ROD, review [31 TAC §371.49](http://texreg.sos.state.tx.us/public/readtac%24ext.TacPage?sl=T&app=9&p_dir=N&p_rloc=178806&p_tloc=&p_ploc=1&pg=9&p_tac=&ti=31&pt=10&ch=371&rl=43); or that have a determination by another entity, review [31 TAC §371.51](http://texreg.sos.state.tx.us/public/readtac%24ext.TacPage?sl=R&app=9&p_dir=&p_rloc=&p_tloc=&p_ploc=&pg=1&p_tac=&ti=31&pt=10&ch=371&rl=50).
 |  [ ]  |  [ ]  |
| If “**Yes**,” provide Issuer (Agency) and date of issuance(s): | **Issuer**      | **Date of Issuance** (mm/dd/yyyy)       |

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| **Section 9 (Continued). READINESS TO PROCEED** |
|  | 1. If an environmental finding has not been issued, does your project meet the criteria to receive Categorical Exclusion as defined at [31 TAC §371.42](http://texreg.sos.state.tx.us/public/readtac%24ext.TacPage?sl=R&app=9&p_dir=&p_rloc=&p_tloc=&p_ploc=&pg=1&p_tac=&ti=31&pt=10&ch=371&rl=42)?
 |  [ ]  |  [ ]   |
| 1. Can you submit an environmental report with the completed loan application that documents coordination with agencies has proceeded sufficiently to determine that no major issues remain?
 |  [ ]  |  [ ]  |
| 1. Will the environmental review be initiated after the TWDB releases planning funds for this project?
 |  [ ]  |  [ ]   |
| **E. Construction Phase** (Estimated start date for first contract and estimated completion date for last contract) | **Start Date** (mm/dd/yyyy)      | **Completion Date** (mm/dd/yyyy)      |
| **F. Project Bidding and Contracts** |  |  |
| Will the proposed project be ready to advertise for construction bids immediately following a funding commitment for construction costs? |  [ ]   |  [ ]  |
| If you are seeking reimbursement for eligible planning and/or design costs, was the work performed in compliance with applicable state law and federal crosscutters, including procurement following Disadvantaged Business Enterprise (DBE) requirements? For more information on DBE, please visit <http://www.twdb.texas.gov/financial/programs/DBE/index.asp>. |  [ ]  | [ ]  |
|  [ ]  N/A |
| How many months will it take to close the loan after receiving a funding commitment? Projects deemed ready to proceed to construction must be able to expend funds quickly after receiving a funding commitment. |      Months |