|  |  |  |  |
| --- | --- | --- | --- |
| **Name of Entity:** |  | **PWS ID No.:** | TX |

|  |  |
| --- | --- |
| If this project **HAS NOT** received a funding commitment, provide the associated Project Information Form number(s) (PIF #) for this update. |  |
| If the project **HAS** received a funding commitment, provide the associated five-digit Project number for this update. |  |

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
| **Section 9. READINESS TO PROCEED** | | | | | | |
| 1. **Permitting** | | | | | | |
| Have all applicable permitting aspects of the project, including acquisition of water rights and/or Certificate of Convenience and Necessity (CCN), or TCEQ approval and completion of piloting been achieved?   * If **“Yes,”** please provide the permit name(s) * If **“No,”** identify in the space below each federal, state or local permit, license or other authorizations needed for the project and the status of each. | | | | | | Yes |
| No |
|  | | | | | | |
| **B. Land Acquisition** | | | | | | |
| Have all land acquisitions and easements necessary to complete the project been obtained? | | | | | | Yes |
| No |
| If **“No,”** please explain in the space below and provide an anticipated completion date. | | | **Completion Date** (mm/dd/yyyy) | | | |
|  | | | | | | |
| **C. Design** | | | | | | |
| 1. Have you completed the design process including full development of plans and specifications? (If **“No,”** proceed to Question 2. If **“Yes,”** proceed to Question 4.) | | | | | | Yes |
| No |
| 2. Has design work **progressed beyond preliminary design**? If so, please provide the completion date. Completed preliminary design documents must consist of the following:   * Design criteria, preliminary drawings, outline of specifications, written descriptions of the project, and updated opinion of probable cost. * Project Sites are plotted on site maps, the site has been surveyed, geotechnical analysis of the site is complete, facility sizing is complete, and process schematics are complete. | | | | | | Yes |
| No |
| * For rehab projects, the above is complete, meaning the details as to what linework portions and what plant components to be rehabed are well defined. | | | | **Completion Date** (mm/dd/yyyy) | | |
| 3. Will design work be initiated after the TWDB releases design funds for this project? | | | | | | Yes |
| No |
| 4. For membrane plants, SWTP, water wells, treatment changes, or intake structures, has TCEQ approved plans and specs? | | | | Yes | No | N/A |
| **D. Environmental Review** | | | | | | |
| Only answer “Yes” to **ONE** of the following four questions: | 1. Have you received a Finding of No Significant Impact (FNSI), Categorical Exclusion (CE), a Record of Decision (ROD), or an environmental determination prepared by another entity in compliance with the National Environmental Policy Act (NEPA) for this project? For projects that may qualify for a FNSI, please review [31 TAC §371.43](http://info.sos.state.tx.us/pls/pub/readtac$ext.TacPage?sl=R&app=9&p_dir=&p_rloc=&p_tloc=&p_ploc=&pg=1&p_tac=&ti=31&pt=10&ch=371&rl=43); or that require a CE, review [31 TAC §371.42](http://info.sos.state.tx.us/pls/pub/readtac$ext.TacPage?sl=R&app=9&p_dir=&p_rloc=&p_tloc=&p_ploc=&pg=1&p_tac=&ti=31&pt=10&ch=371&rl=42); or that require a ROD, review [31 TAC §371.46](http://info.sos.state.tx.us/pls/pub/readtac$ext.TacPage?sl=R&app=9&p_dir=&p_rloc=&p_tloc=&p_ploc=&pg=1&p_tac=&ti=31&pt=10&ch=371&rl=46); or that have a determination by another entity, review [31 TAC §371.50](http://info.sos.state.tx.us/pls/pub/readtac$ext.TacPage?sl=R&app=9&p_dir=&p_rloc=&p_tloc=&p_ploc=&pg=1&p_tac=&ti=31&pt=10&ch=371&rl=50). | | | | | Yes |
| No |
| If “**Yes**,” provide Issuer (Agency) and date of issuance(s): | **Issuer** | | **Date of Issuance** (mm/dd/yyyy) | | |
| 1. If an environmental finding has not been issued, does your project meet the criteria to receive Categorical Exclusion as defined at [31 TAC §371.42](http://info.sos.state.tx.us/pls/pub/readtac$ext.TacPage?sl=R&app=9&p_dir=&p_rloc=&p_tloc=&p_ploc=&pg=1&p_tac=&ti=31&pt=10&ch=371&rl=42)? | | | | | Yes |
| No |
| 1. Can you submit an environmental report with the completed loan application that documents coordination with agencies has proceeded sufficiently to determine that no major issues remain? | | | | | Yes |
| No |
| 1. Will the environmental review be initiated after the TWDB releases planning funds for this project? | | | | | Yes |
| No |
| **E. Construction Phase** (Estimated start date for first contract and estimated completion date for last contract) | | **Start Date** (mm/dd/yyyy) | | **Completion Date** (mm/dd/yyyy) | | |
| **F. Project Bidding and Contracts** | | | | | | |
| Will the proposed project be ready to advertise for construction bids immediately following a funding commitment for construction costs? | | | | | | Yes |
| No |
| If you are seeking reimbursement for eligible planning and/or design costs, was the work performed in compliance with applicable state law and federal crosscutters, including procurement following Disadvantaged Business Enterprise (DBE) requirements? For more information on DBE, please visit [www.twdb.texas.gov/financial/programs/DBE/index.asp](http://www.twdb.texas.gov/financial/programs/DBE/index.asp). | | | | | | Yes |
| No |
| How many months will it take to close the loan after receiving a funding commitment? Projects deemed ready to proceed to construction must be able to expend funds quickly after receiving a funding commitment. | | | | | | Months |