

Fiscal Sustainability Plan (FSP) Certification

This certification applies to loan applications submitted on or after October 1, 2014, that involve the repair, replacement, or expansion of a publically owned treatment works in accordance with the Water Resources Reform and Development Act of 2014 (WRRDA), Section 603(d)(1)(E). FSPs are not required for new treatment works unless they are physically replacing an existing treatment works or expanding the treatment capacity of an existing system or for projects involving an upgrade that does not involve repair/replacement or expansion of the treatment capacity (e.g., adding advanced treatment).

Name of Entity: _____

TWDB Project Number: _____

Project Name: _____

WRRDA Section 603 (d)(1)(E) states that: *for a treatment works proposed for repair, replacement, or expansion, and eligible for assistance under subsection (c)(1), the recipient of a loan shall—*

- (i) *develop and implement a fiscal sustainability plan that includes—*
 - (I) *an inventory of critical assets that are a part of the treatment works;*
 - (II) *an evaluation of the condition and performance of inventoried assets or asset groupings;*
 - (III) *a certification that the recipient has evaluated and will be implementing water and energy conservation efforts as part of the plan; and*
 - (IV) *a plan for maintaining, repairing, and, as necessary, replacing the treatment works and a plan for funding such activities; **or***
- (ii) *certify that the recipient has developed and implemented a plan that meets the requirements under clause (i)*

Check the appropriate statement below and sign the signature block.

- By signing below, the loan recipient certifies a plan has been developed and is being implemented to meet the requirements under clause (i) above.
- By signing below, the loan recipient agrees to develop and implement a fiscal sustainability plan, and will certify, using this certification form, the plan meets the requirements under clause (i) above as a deliverable under the loan agreement. In this case, the loan recipient shall submit the certification form to the TWDB prior to the final funds disbursement on the final contract of the stated project.

Signature of Authorized Official

Date

Printed Name of Authorized Official

Title of Authorized Official